

Industry Workshop – Internal Controls

This interactive workshop will be comprised of lectures and working sessions focusing on the five components of the COSO Internal Controls Framework. During this workshop, we will deep dive into internal controls utilizing short lectures and collaborative group activities to facilitate an understanding of the principles behind each. The workshop will be technical and interactive. The content and scenarios will concentrate on FAC-008, CIP-005, and CIP-007 risks, but techniques can be applied across a multitude of standards.

Event Information

Holiday Inn
6001 Rockside Road
Independence, OH 44131

Thursday, February 23, 2023
8:00 a.m. – 5:00 p.m. Eastern Time (US & Canada)

The one-day workshop is free to attend with a reception to follow.

Additional Information for Attendees

Due to the interactive nature of this workshop and level of participation required from each attendee, laptops are not permitted.

- Smartphones are permitted and encouraged in order to use Slido for some activities.
- Dress code is Smart Casual.
- Reception will include 2 free drink tickets (then cash bar).
- This event is not open to the media.

Agenda

Agenda Topic	Speaker(s)	Time (Eastern)
Registration Opens 8:00 AM		8:00 – 8:15
Opening Remarks	Tim Gallagher, President & CEO, RF	8:15 – 8:45
What is a Control?	Courtney Fasca, Operations and Planning Technical Auditor, RF	8:45 – 9:15
Finding Controls in Everyday Life	Activity	9:15 – 9:45
Morning Break		9:45 – 10:00
Addressing Risk Leads to Creation of Controls	Dirk Baker, Frank Kapuscinski, and Shawn Barrett, Risk Analysis & Mitigation (RAM) Principal Analysts, RF	10:00 – 10:30
Addressing the Risk	Activity	10:30 – 11:15
Mitigating Risk and Maintaining Compliance	Activity	11:15 – 11:45
Lunch		11:45 – 1:00
Compliance Drill	Activity	1:00 – 2:30
Internal Controls	Alan Herd, Supervisory CIP Advisor, FERC	2:30 – 3:00
Prioritizing Controls to Address Risk, Utilizing the COSO Framework	Activity	3:00 – 3:45
Afternoon Break		3:45 – 4:00
Monitoring Internal Controls	Mike Hughes, Manager Entity Engagement, and Jeremy Withers, CIP Principal Technical Auditor, RF	4:00 – 4:30
Trivia / Jeopardy Game	Activity	4:30 – 5:00
Reception		5:00 – 7:00

Please contact Communications@RF.org regarding any questions